



17157 U.S. PTO  
012104

81790.0308

Express Mail Label No. EV 324 111 300 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anticipated Classification  
of this Application:

☐ Class \_ ☐ Subclass \_

Prior Application

Examiner: Tran, Andrew Q.

Art Unit: 2824

CONTINUATION APPLICATION TRANSMITTAL

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450



Dear Sir:

This is a request for filing a ☒ continuation ☐ divisional application pursuant to 37 C.F.R. § 1.53(b), of pending prior application:

Serial No. 10/379,471 filed March 4, 2003, which is a continuation of Serial No. 10/253,455 filed September 24, 2002, which is a continuation of Serial No. 10/034,515 filed December 27, 2001, which is a divisional of Serial No. 09/721,385 filed November 22, 2000 now Patent No. 6,377,485, which is a continuation of Serial No. 09/470,652 filed December 22, 1999 now Patent No. 6,178,115, by Noboru SHIBATA and Tomoharu TANAKA (inventor(s) currently of record in prior application) for SEMICONDUCTOR MEMORY DEVICE AND STORAGE METHOD THEREOF.

1. ☒ Enclosed is a copy of the prior application, including the oath or declaration as originally filed, or a replacement specification which does not add new matter.
2. ☒ The filing fee is calculated below:

CALCULATION OF FEES								
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	1	-20	0	LG=\$18 SM=\$9	\$18	\$ 0	
B	INDEPENDENT CLAIMS FEE*	1	-3	0	LG=\$86 SM=\$43	\$86	\$ 0	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							\$ 0
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$290 SMALL ENTITY FEE = \$145		\$ 0
E	BASIC FEE					LARGE ENTITY FEE = \$770 SMALL ENTITY FEE = \$385		\$ 770.00
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 770.00
	*LIST INDEPENDENT CLAIMS 1							

3. ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Account No. 50-1314. A copy of this sheet is enclosed.

4. ☐ A check in the amount of \$\_\_\_ is enclosed.

5. ☒ Cancel in this application original claim(s) 2-20 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

6. ☒ Amend the specification by inserting before the first line the sentence:

This is a ☒ continuation ☐ divisional of application Serial No. 10/379,471 filed March 4, 2003, which is a continuation of Serial No. 10/253,455 filed September 24, 2002, which is a continuation of Serial No. 10/034,515 filed December 27, 2001, which is a divisional of Serial No. 09/721,385 filed November 22, 2000 now Patent No. 6,377,485, which is a continuation of Serial No. 09/470,652 filed December 22, 1999 now Patent No. 6,178,115, which applications are hereby incorporated by reference in their entirety.

7a. ☒ New formal drawings are enclosed.

7b. ☒ Priority of application Serial No(s).:

Japanese application Serial No. 10-369467 filed December 25, 1998 is claimed under 35 U.S.C. § 119.

7c. ☒ The certified copy of the priority application was filed in prior application Serial No. 09/470,652, filed December 22, 1999.

8. ☒ The prior application is assigned of record to: KABUSHIKI KAISHA TOSHIBA.

9. ☒ The power of attorney in the prior application is as listed on the attached copy of the declaration/power of attorney and Associate Power of Attorney filed in the parent application.

a. ☒ The power appears in the original papers in the prior application.

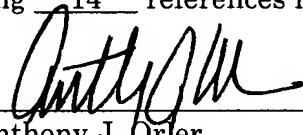
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☒ Address all future communications to:

Michael L. Crapenhof, Esq.  
HOGAN & HARTSON L.L.P.  
Biltmore Tower  
500 South Grand Avenue, Suite 1900  
Los Angeles, CA 90071  
Telephone: (213) 337-6700  
Facsimile: (213) 337-6701

☒ Customer Number 000026021 →

- d. ☐ A new Associate Power of Attorney is enclosed.
10. ☒ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
11. ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Applicant no longer claims small entity status.
12. ☒ An Information Disclosure Statement listing 14 references is enclosed.

Date: January 21, 2004

  
\_\_\_\_\_  
Anthony J. Orler  
Registration No. 41,232

HOGAN & HARTSON L.L.P.  
500 South Grand Avenue, Suite 1900  
Los Angeles, California 90071  
Phone: 213-337-6700  
Fax: 213-337-6701

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SHIBATA, et al.

Serial No: Not Assigned (Parent 10/379,471)

Filed: January 21, 2004

For: Semiconductor Memory Device and Storage Method  
Thereof

Art Unit: Not Assigned

Examiner: Not Assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL  
"Express Mail" Mailing Label No. EV 324 111 300 US  
Date of Deposit: January 21, 2004

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ patent application ( 115 page(s) of specification; 1 claim(s); 1 page(s) of abstract
- ☒ 35 sheet(s) of formal drawings
- ☒ copy of executed Declaration and Power of Attorney
- ☒ Preliminary Amendment
- ☒ Information Disclosure Statement listing 14 references
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

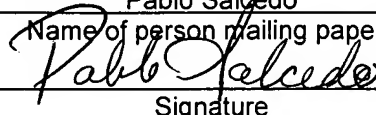
Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Arlington, VA 22313-1450

Date: January 21, 2004

Hogan & Hartson, LLP  
500 South Grand Avenue, Suite 1900  
Los Angeles, California 90071  
Telephone: 213-337-6700  
Facsimile: 213-337-6701

Pablo Salcedo

Name of person mailing papers



Signature